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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/674,839	11/06/2000	Klaus Schaaf	11150/22	7091

26646 7590 06/21/2004

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ONE BROADWAY
NEW YORK, NY 10004

EXAMINER

JACKSON, JAKIEDA R

ART UNIT	PAPER NUMBER
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2655

DATE MAILED: 06/21/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/674,839

Applicant(s)

SCHAAF ET AL.

Examiner

Jakieda R Jackson

Art Unit

2655

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-17 is/are pending in the application.
- 4a) Of the above claim(s) 1-7 is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 8-17 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 4.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: ____.

DETAILED ACTION
Drawings

1. The subject matter of this application admits of illustration by a drawing to facilitate understanding of the invention. Applicant is required to furnish a drawing under 37 CFR 1.81. No new matter may be introduced in the required drawing.

Specification

2. The Specification is objected to because of the following informalities:

- Page 1, lines 18 the phrase --or feed--, should be "are fed".

Appropriate correction is required.

Information Disclosure Statement

3. The information disclosure statement filed November 6, 2000, is missing copies of following publications:

DE19524847

DE4227826

Therefore, these publications were not considered.

Claim Objections

4. **Claim 11** is objected to because of the following informalities:

- The misspelled word, in line 3, --acuoustic--, should be "acoustic".

Appropriate correction is required.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. **Claims 8-17** are rejected under 35 U.S.C. 102(b) as being anticipated by McGregor et al. (EP 0 304 257 A, rejections cited using U.S. version (Patent No. 4,965,833)), hereinafter referenced as McGregor.

Regarding **claim 8**, McGregor discloses a method for operating a voice-controlled system in a motor vehicle (column 1, lines 6-7), comprising the steps of:

detecting a total signal (column 3, lines 62-65) by a plurality of microphones (microphones; column 3, line 41) the total signal including a voice signal (voices) and background noise signal (noise; column 1, lines 26-29);

performing a frequency shift (frequency shifters) by an amount of delta F on the total signal detected by the microphone (column 4, lines 6-22);

subtracting the frequency-shifted total signal of a first one of the plurality of microphones (two first-mentioned; microphones) from the detected total signal of a second one of the plurality of microphones (two second microphones) before shifting the frequency (signal fed to amplifier/electrical conditioning unit) of the total signal of the second one of the plurality of the microphones and vice versa (column 2, lines 1-15 with column 1, lines 49-51 and column 6, lines 39-45); and

transmitting the frequency-shifted total signal (column 4, lines 1-22) to one of an input to a voice-controlled device (microphone) and at least one loudspeaker (loudspeaker; column 3, lines 41-47).

Regarding **claims 9 and 14**, McGregor discloses a method and a device wherein the voice-controlled system includes at least one of a communication device and a two-way intercom device (column 5, lines 4-7).

Regarding **claim 10**, McGregor discloses the method further comprising the steps of: defining an arbitrary acoustic model (acoustic enclosure) based on (caused by) the detected total signals (noise detected; column 3, line 62 – column 4, line 4); and

transmitting a signal corresponding to the acoustic model (column 4, lines 1-22) to a respective summation point (each feed some of the signal) for subtraction from the detect total signal before the respective frequency shifting (signal fed to amplifier/electrical conditioning unit; column 2, lines 1-15).

Regarding **claims 11 and 13**, McGregor discloses a method and a device wherein a passenger compartment of the motor vehicle is divided into at least two acoustic subspaces (front and rear), each of the acoustic subspaces including at least one microphone location (microphone in front) and at least one loudspeaker location (loudspeaker in rear; column 2, lines 1-15);

and wherein the frequency shift (frequency shifters) is performed between the microphone location (microphone) of one of the subspaces and the loudspeaker (loudspeaker) location of another one of the subspaces (column 4, lines 6-22);

and wherein each acoustic model is defined between the microphone location and the loudspeaker location of the respective acoustic subspace (acoustic enclosure) to thereby form a signal-based, closed loop electroacoustical control circuit (closed acoustic feedback path; column 4, lines 1-22).

Regarding **claim 12**, McGregor discloses a method and a device wherein each acoustic model is defined in accordance with voice (voice) and noise signals (noise; column 1, lines 26-29) detected in the respective acoustic subspace (acoustic position; column 2, lines 1-11) and additional noise signals detected in the entire passenger compartment (passengers; column 5, lines 7-15) so the after the signal corresponding to the acoustic model is subtracted from the total signal substantially only the voice signal remains (cancel noise; column 7, lines 4-6).

Regarding **claim 15**, McGregor discloses the device wherein the subsections are open subsections (four normal passenger seats; figure 1, elements 2-5 and column 2, lines 57-59).

Regarding **claim 16**, McGregor discloses the device further comprising an acoustic model generator provided between each parallel tapped loudspeaker signal (adjacent loudspeaker; column 2, lines 62-65) and the respective summation point (adjusted to prevent 'howl-around'; column 3, lines 4-7), the acoustic models generated at least one of controlling and post processing the respective loudspeaker signal (column 3, lines 8-12), a resulting signal from each acoustic model generator being transmitted to the respective summation point (column 4, lines 43-47).

Regarding **claim 17**, McGregor discloses the device wherein the acoustic model generators include sound pattern detectors for separating engine (engine noise) and driving noises (open window noises; column 5, lines 13-15 and road noises; column 1, lines 26-29) from speech-generated acoustical signals (cancel noise; column 7, lines 4-6) and for separating speech-generated signals (speech frequencies passed) from the fed-back echo signals (feedback; column 3, lines 60-66).

Conclusion

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

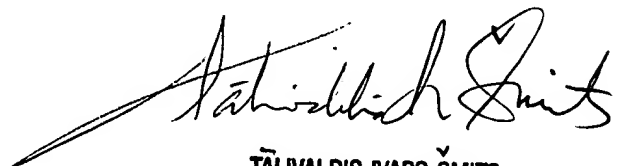
- Ikeda (U.S. Patent No. 5,644,641) discloses a noise canceling device capable of achieving a reduced convergence time and a reduced residual error after convergence.
- Petroni et al. (U.S. Patent No. 6,098,040) discloses a method and apparatus providing an improved feature set in speech recognition by performing noise cancellation and background masking.
- Saikaly et al. (U.S. Patent No. 5,893,056) disclose methods and apparatuses for generating noise signals from speech signals.
- Sih et al. (U.S. Patent No. 5,920,834) discloses an echo canceller with talk state determination to control speech processor functional elements in a digital telephone system.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jakieda R Jackson whose telephone number is 703.305.5593. The examiner can normally be reached on Monday through Friday from 7:30 a.m. to 5:00p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Talivaldis I. Smits can be reached on 703. 306-3011. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JRJ
June 10, 2004



TĀLIVALDIS IVARS ŠMITS
PRIMARY EXAMINER